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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

00757

P.O. BOX 10395

CHICAGO, IL 60610

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/989.369

BRINKS HOFER GILSON & LIONE

11/19/2001

Greg A. Whitlow

10541-273

CONFIRMATION NO. 1119

FORMALITIES LETTER



OC000000007153897

Date Mailed: 12/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Serial No: 0

09/989,369

Transmittal Letter (in dup.), Declaration and Power of Attorney, and return post card.

BRINKS HOFER GILSON & LIONE

By: David Okey

Date of Mailing:

February 4, 2002

OIPE OF TRADEWARD

Case No. 10541-273
Applicant Wutlow, 4cl.

Director of The United States Patent & Trademark Office Washington, D.C. 20231

Pleas

Applicant:

Greg A. Whitlow, et al.

Serial No:

09/989,369

Client/Matter:

10541-273

Items Mailed:

Transmittal Letter (in dup.), Declaration

and Power of Attorney, and return post card.

Date of Mailing:

February 4, 2002

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	Small entity st submitted.	atus of this	s application unde	er 37 CFR § 1.2	27 ha	s been estab	lished by	verified	d statement p	reviously
	A verified state	ement to es	tablish small entit	ty status under :	37 CI	R § § 1.9 and	d 1.27 is	enclose	d.	
	Petition for a	mont	th extension of tir	ne.			F	RF(CEIVE	ED.
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	No additional fee is required. MAR 2 8 2002 The fee has been calculated as shown below:							L . D0786		
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Respectfully submitted,

David Okey

Registration No. 42,959 Attorney for Applicant

BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on February 4, 2002.

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A TOAC	TRANSMITTAL LETTER		Case No. 10541-273
Serial No.	Filing Date	Examiner	Group Art Unit
09/989,369	November 19, 2001	Not Assigned	Not Assigned_
Inventor(s)			
Greg A. Whitlow, et al.			
Title of Invention			
HEAT EXCHANGER TU	BE WITH STONE PROTECTION APP	PENDAGE	
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	opy of the Decla nts (including tra	ration and	Power of Attorney	Extension of Time 7, Notice to File Mi ation and Power of	ssing Parts (in	dup.), Cop	ies o	Previously s	<u>ubmitted</u>	
	Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.									
	A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.									
\boxtimes	Petition for a <u>1</u> month extension of time.									
	No additional fee is required.									
	The fee has been calculated as shown below:									
	Small Entity							Other Than Small Entity		
	Claims Remaining After Amendment	!	Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee	
Total		Minus			x \$9 =			x \$18=		
Indep.	·	Minus			x 42 =		-	x \$84=		
First Presentation of Multiple Dep. Claim					+ \$140 =	•	-	+ \$280=		
					Total add'l fee	\$		Total add'I fee	\$	
	Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ A duplicate copy of this sheet is enclosed.									
\boxtimes	A check in the amount of \$110.00 to cover the filing fee is enclosed.									
	The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.									
	I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.									
Respectfully submitted, Craig A. Summerfield Registration No. 37,947										
Aftorney for Applicant BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200										
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on February 7, 2002. Date:										
Date:										

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